



AdtU Ph.D. Regulations, 2024


Assam down town University

2024


PREAMBLE

Assam down town University is a premier higher educational institution which offers Bachelor, Master, and Ph.D. degree programs across various faculties. These programme, collectively embodies the vision and mission of the university. AdtU Ph.D. Regulation 2024 formed in consonance with the UGC (Minimum Standards and Procedures for Award of Ph.D. Degree) Regulations, 2022, and the INC (Guidelines for Starting Ph.D. in Nursing Programs in Universities Approved by the Council) Regulations, 2020, and shall be applicable to all Ph.D. programmes offered by the University starting from the academic year 2024–25. This document contains rules, regulation, framework and complete detailing of the Ph.D. program. This document is a guidebook for the Ph.D. scholars for completing the program and to be eligible for the Ph.D. degree.

Recommended by the University Research Council (URC) meeting of the Assam down town University held on dated 08/10/2024 and approved by the 52nd Academic Council(AC) meeting held on dated 27/11/2024


Chairperson,
Academic Council




Member Secretary
Academic Council



AdtU Ph.D. Regulations, 2024

Assam down town University

Panikhaiti, Guwahati, Assam - 781026

[AdtU Ph.D. Regulation 2024, in consonance with the UGC (Minimum Standards and Procedures for Award of Ph.D. Degree) Regulations, 2022, and the INC (Guidelines for Starting Ph.D. in Nursing Programs in Universities Approved by the Council) Regulations, 2020, shall be applicable to all Ph.D. programmes offered by the University starting from the academic year 2024–25]

(Approved by the Academic Council, Assam down town University in its 52nd meeting held on 27/11/2024)

1. PREAMBLE	
1.1.	These regulations shall be called the 'Assam down town University (AdtU) Ph.D. Regulations 2024' and shall govern the policies and procedures related to the Ph.D. programme of the University, including selection, admission, the impact of research work, conduct of coursework and examinations, evaluation of progress and thesis, award of the Ph.D. degree, certification, and other related matters and guidelines.
1.2.	This set of AdtU Ph.D. Regulations has been developed through the process of updating, amending, and modifying wherever necessary, as well as incorporating new clauses deemed appropriate, with the approval of the Academic Council (AC) and the Board of Management (BoM).
1.3.	These updated regulations shall come into effect from the academic year 2024–25.
1.4.	These regulations shall be binding on all candidates involved in the process of selection and admission, as well as on Ph.D. research scholars enrolled in the said degree programme at AdtU. They shall also be binding on all parties concerned, including research scholars, faculty, staff, departments, faculties, and institutional authorities.
1.5.	In the event of any difficulty arising at any point during the implementation of any clause(s) of these regulations or in their interpretation, the decision of the Honorable Vice Chancellor shall be final and binding on all concerned.
1.6.	If any difference of opinion or dispute arises regarding this set of regulations or any clause(s) therein at any point in time, the matter shall be referred to the Board of Management (BoM). The decision of the BoM shall be final and binding on all parties concerned.
1.7.	Any matter not covered by the clauses of these regulations, pertaining to the Ph.D. degree programme of the University, shall be decided by the AdtU Doctoral Research Administration Committee (ADRAC), the Academic Council (AC), and the BoM of Assam down town University, as and when necessary.
Important Notes:	
1.8.	The 'Ph.D. Thesis Writing Guidelines' provided in the present 'AdtU Ph.D. Regulation 2024' shall be followed by all Ph.D. scholars of the University, irrespective of their year of admission or registration, with effect from the date of implementation of these regulations.
1.9.	The process/procedures for pre-submission seminar presentation, anti-plagiarism checks, submission of abstracts, and thesis, as outlined in the present 'AdtU Ph.D. Regulation

		2024,' shall also be adhered to by all Ph.D. scholars of the University, irrespective of their year of admission or registration, with effect from the date of implementation of these regulations.																																							
1.10.		<p>The following Clauses of 'AdtU Ph.D. Regulation 2024' shall be applicable to all the Ph.D. scholars pursuing Ph.D. degree programme in the university irrespective of their year of admission/registration and are to be followed while processing for award of the degree.</p> <ul style="list-style-type: none"> ○ Clause No. 21: Anti-Plagiarism ○ Clause No. 22: Submission of Abstract and Thesis ○ Clause No. 23: Appointment of Examiners and Receipt of Reports ○ Clause No. 24: Examination of Thesis ○ Clause No. 25: Submission of Hard Bound Thesis ○ Clause No. 26: Award of Degree ○ Clause No. 27: Issue of Certificate and information to UGC ○ Clause No. 28: Payment of Tuition & Other Fees ○ Clause No. 30: Cancellation of Ph.D. Admission & Registration ○ Clause No. 31: Confidentiality and Copyright of the Thesis ○ Clause No. 32: Change of Supervisor ○ Clause No. 32: Ethics and Discipline ○ Clause No. 34: Part-time scholars Changes the Jon ○ Clause No. 33: Grievance Redress System 																																							
2.	ADTU DOCTORAL RESEARCH ADMINISTRATION COMMITTEE (ADRAC)																																								
2.1.		The AdtU Doctoral Research Administration Committee (ADRAC) shall be responsible for regulating, examining, and coordinating research programmes leading to the Ph.D. degree.																																							
2.2.		<p>Formation of ADRAC: The Committee will oversee the Ph.D. program of Assam down town University (AdtU). The ADRAC shall consist of the following members:</p> <table border="1" style="width: 100%; border-collapse: collapse;"> <tr> <td style="width: 5%;">(i)</td> <td style="width: 70%;">Vice Chancellor</td> <td style="width: 25%;">Chairperson</td> </tr> <tr> <td>(ii)</td> <td>Pro-Vice Chancellor</td> <td>Member</td> </tr> <tr> <td>(iii)</td> <td>Dean of Studies</td> <td>Member</td> </tr> <tr> <td>(iv)</td> <td>Registrar</td> <td>Member</td> </tr> <tr> <td>(v)</td> <td>Executive Dean</td> <td>Member</td> </tr> <tr> <td>(v)</td> <td>Chairperson, Directorate of Research</td> <td>Member</td> </tr> <tr> <td>(vi)</td> <td>Director, Directorate of Research</td> <td>Member</td> </tr> <tr> <td>(vii)</td> <td>Chairperson of all FRCs</td> <td>Member</td> </tr> <tr> <td>(viii)</td> <td>Director, Quality & Process</td> <td>Member</td> </tr> <tr> <td>(ix)</td> <td>Controller of Examinations</td> <td>Member</td> </tr> <tr> <td>(x)</td> <td>Deputy Registrar (Academic)</td> <td>Member</td> </tr> <tr> <td>(xi)</td> <td>Officer Ph.D. Cell</td> <td>Member</td> </tr> <tr> <td>(xii)</td> <td>A senior Faculty Member nominated by Vice Chancellor</td> <td>Member Secretary</td> </tr> </table> <p>Special Invitee: The Chairperson, ADRAC may invite special invitee(s) to attend a meeting of ADRAC as and when necessary.</p>	(i)	Vice Chancellor	Chairperson	(ii)	Pro-Vice Chancellor	Member	(iii)	Dean of Studies	Member	(iv)	Registrar	Member	(v)	Executive Dean	Member	(v)	Chairperson, Directorate of Research	Member	(vi)	Director, Directorate of Research	Member	(vii)	Chairperson of all FRCs	Member	(viii)	Director, Quality & Process	Member	(ix)	Controller of Examinations	Member	(x)	Deputy Registrar (Academic)	Member	(xi)	Officer Ph.D. Cell	Member	(xii)	A senior Faculty Member nominated by Vice Chancellor	Member Secretary
(i)	Vice Chancellor	Chairperson																																							
(ii)	Pro-Vice Chancellor	Member																																							
(iii)	Dean of Studies	Member																																							
(iv)	Registrar	Member																																							
(v)	Executive Dean	Member																																							
(v)	Chairperson, Directorate of Research	Member																																							
(vi)	Director, Directorate of Research	Member																																							
(vii)	Chairperson of all FRCs	Member																																							
(viii)	Director, Quality & Process	Member																																							
(ix)	Controller of Examinations	Member																																							
(x)	Deputy Registrar (Academic)	Member																																							
(xi)	Officer Ph.D. Cell	Member																																							
(xii)	A senior Faculty Member nominated by Vice Chancellor	Member Secretary																																							
2.3.		Conduct of the Meetings: The ADRAC normally shall sit at every two months interval. However, the Chairperson may call for the special meeting(s) with specific agenda items at any time in case of urgent need and exigency.																																							
2.4.	Functions of the ADRAC																																								
	2.4.1.	The ADRAC shall plan, implement, regulate, and review the entire Ph.D. programme of the University through the Ph.D. Cell of the University.																																							

	2.4.2.	The ADRAC shall monitor the functioning of the Ph.D. Cell and provide cooperation to the Controller of Examinations (CoE) in conducting the Assam down town University-Research Entrance Test (AdtU-RET) through the Ph.D. Cell of the University.
	2.4.3.	The ADRAC, through the Ph.D. Cell, shall schedule coursework classes, allocate resource persons, and oversee the conduct of course work examinations.
	2.4.4.	The ADRAC shall make decisions regarding the admission of candidates to the Ph.D. programme and their allotment to the appropriate Faculty of Study and Research.
	2.4.5.	The ADRAC shall grant approval for Ph.D. research Supervisors, subject to ratification by the AC.
	2.4.6.	The ADRAC shall make decisions regarding the approval of Ph.D. registration, pre-submission seminars, cancellation of Ph.D. admissions/registrations, re-registrations, and other related matters.
	2.4.7	Based on the reports from examiners, the Chairperson of the ADRAC shall decide on the scheduling of the viva-voce examination and issue a notification through the CoE/Registrar.
	2.4.8.	The ADRAC shall receive six-monthly progress reports from Ph.D. research scholars, duly approved by the respective Faculty Research Committees (FRCs) or Boards of Doctoral Studies, through the Ph.D. Cell of the University.
	2.4.9	The ADRAC shall discuss and make decisions on agendas presented by the FRC, Board of Doctoral Studies, or Ph.D. Cell.
	2.4.10	The quorum for ADRAC meetings shall be two-thirds of the total number of members.
3.	FACULTY RESEARCH COMMITTEE (FRC) / BOARD OF DOCTORAL STUDIES	
	3.1.	The Faculty Research Committee (FRC) / Board of Doctoral Studies for the Ph.D. programme is the intermediate body responsible for examining and coordinating research programmes leading to the Ph.D. degree.
	3.2.	<p>FRC for Ph.D. program should be formed in each Faculty of Study (FoS) except Faculty of Nursing. The AdtU has following FRCs for Ph.D. Program:</p> <ol style="list-style-type: none"> 1. FRC of Faculty of Commerce and Management 2. FRC of Faculty of Humanities and Social Sciences 3. FRC of Faculty of Science 4. FRC of Faculty of Engineering 5. FRC of Faculty of Computer Technology 6. FRC of Faculty of Pharmaceutical Science 7. FRC of Faculty of Paramedical Sciences 8. FRC of Faculty of Agricultural Sciences and Technology <p>Note:</p> <ol style="list-style-type: none"> 1. FRC of Paramedical Sciences will look after the Ph.D. related matter of Faculty of Physiotherapy and Rehabilitation. 2. FRC of Faculty of Computer Technology will look after the matter related to Ph.D. under Computer Science and Engineering. 3. A “Board of Doctoral Studies” will be formed in the Faculty of Nursing, which is equivalent the FRC of other Faculty of Studies.

3.3.	Composition of FRC for Ph.D. Program (other than Faculty of Nursing): The FRC shall have the following members:	
(i)	Adviser	Pro-Vice Chancellor/Chairman, Directorate of Research/ Director, Directorate of Research / Executive Dean/Adviser, Chairman, Dean of other Faculty of Study
(ii)	Chairperson	Dean of concerned Faculty of Study
(iii)	External Members	a. One senior faculty member from another Faculty of Study [other than the parent Faculty of Study(s) of the University] b. Senior Academician/Researcher from outside AdtU c. One member nominated by the Vice Chancellor
(iv)	Members	All the Supervisors of the concern Faculty of Study with the rank of Associate Professor and above. In absence of any Professor/Associate Professors, the senior Assistant Professor(s) supervising Ph.D. scholars may be included.
(v)	Member Secretary	A senior faculty member nominated by the Dean of concerned Faculty of Study
<ul style="list-style-type: none"> Chairperson may invite special invitee to an FRC meeting as and when necessary. Dean of Studies/Resident Trustee or their nominee will be the Special Invitees during the FRC Meeting/Presentations. 		
3.4.	Composition of Board for Doctoral Studies (only for Faculty of Nursing): The Board shall have the following members	
(i)	Chairperson	Dean of the Faculty of Nursing
(iii)	External Members	a. One/Two subject expert outside AdtU as External Member of the Board Senior Academician/Researcher from outside AdtU b. One member nominated by the Vice Chancellor
(iv)	Member	Selected Ph.D. qualified faculty members
(v)	Member Secretary	A senior faculty member nominated by the Dean of concerned Faculty of Study
<ul style="list-style-type: none"> Chairperson may invite special invitee to an FRC meeting as and when necessary. Dean of Studies/Resident Trustee will be the Special Invitees during the FRC Meeting/Presentations. 		
3.5.	Functions of Faculty Research Committees (FRC)/Board of Doctoral Studies:	
3.5.1.	The FRC/Board of Doctoral Studies shall ensure that a file is maintained for each Ph.D. research scholar of the Faculty of Study, with all documents pertaining to the scholar's tenure at AdtU maintained in chronological order and a compact manner.	
3.5.2.	The FRC/Board of Doctoral Studies shall determine the vacancy position of scholars to be admitted, Supervisor-wise, in their respective Faculty of Studies.	
3.5.3.	The FRC/Board of Doctoral Studies shall conduct the interview component of the AdtU-RET for their respective Faculty of Studies.	
3.5.4.	The FRC/Board of Doctoral Studies shall scrutinize all research proposals forwarded by the Research Advisory Committee (RAC) of the scholar.	
3.5.5.	If the FRC/Board of Doctoral Studies is satisfied with the synopsis of the Ph.D. scholar, based on the report submitted by the RAC, the FRC shall recommend the scholar for necessary approvals and forward the recommendation to ADRAC.	
3.5.6.	The six-monthly progress reports of the scholars, duly signed by the	

		Supervisors and forwarded by the RAC of the concerned scholar, shall be received by the respective FRCs along with the attendance report maintained by the concerned Supervisor and countersigned by the Dean regarding the scholar's residency period. Upon recommendation from the RAC, after a successful presentation of the progress report, the FRC/Board of Doctoral Studies may approve the report and forward it to ADRAC.															
	3.5.7.	The completion of the Ph.D. research work and thesis shall be checked by the Supervisor(s) and the concerned RAC. Upon recommendation from the RAC, the FRC/Board of Studies shall organize a pre-submission seminar, which will be an open seminar. After a successful pre-submission seminar presentation, the FRC/Board of Doctoral Studies may recommend the submission of the abstract and thesis by the research scholar, which will be forwarded to ADRAC for approval.															
	3.5.8	The FRC/Board of Doctoral Studies may require the scholar to present their synopsis or research work before the committee. If necessary, seminars for Ph.D. provisional registration, registration, pre-submission, six-monthly progress reports, etc., may be conducted in the presence of the RAC and FRC/Board of Doctoral Studies members.															
	3.6.	Conduct of Meeting: The FRC/Board of Doctoral Studies normally shall sit every two months. However, the Chairperson may call for special meeting(s) with specific agenda items at any time in case of exigency. The quorum for meetings is two-thirds of the total number of members.															
4.	RESEARCH ADVISORY COMMITTEE (RAC)																
	4.1.	There shall be a Research Advisory Committee (RAC) for each Ph.D. student. The RAC shall be formed upon the due recommendation of the Supervisor and the Chairman of the concerned FRC, with the approval of the Hon'ble Vice Chancellor.															
	4.2.	The RAC for each scholar shall be formed and notified within three months from the date of admission, by the office of the Registrar.															
	4.3.	<p>Composition of RAC: The RAC shall have the following members</p> <table border="1" style="width: 100%; border-collapse: collapse;"> <tr> <td style="width: 5%;">(i)</td> <td style="width: 70%;">Dean of the Concern Faculty of Study</td> <td style="width: 25%;">Chairman</td> </tr> <tr> <td>(ii)</td> <td>One Faculty Member from the same Discipline/Program</td> <td>Member</td> </tr> <tr> <td>(iii)</td> <td>One External Faculty Member from allied Discipline/Program of AdtU (other than same FoS)</td> <td>Member</td> </tr> <tr> <td>(iv)</td> <td>Co-supervisor/Joint Supervisor/Supervisor (outside AdtU) (if any)</td> <td>Member</td> </tr> <tr> <td>(v)</td> <td>Supervisor of the Scholar</td> <td>Convenor</td> </tr> </table> <p>*In case Dean of the concern Faculty of Study is the Supervisor, as senior faculty member will be the Chairperson of the committee. However, in such cases, Dean of the concern Faculty of Study will take prior approval from the Hon'ble Vice Chancellor</p>	(i)	Dean of the Concern Faculty of Study	Chairman	(ii)	One Faculty Member from the same Discipline/Program	Member	(iii)	One External Faculty Member from allied Discipline/Program of AdtU (other than same FoS)	Member	(iv)	Co-supervisor/Joint Supervisor/Supervisor (outside AdtU) (if any)	Member	(v)	Supervisor of the Scholar	Convenor
(i)	Dean of the Concern Faculty of Study	Chairman															
(ii)	One Faculty Member from the same Discipline/Program	Member															
(iii)	One External Faculty Member from allied Discipline/Program of AdtU (other than same FoS)	Member															
(iv)	Co-supervisor/Joint Supervisor/Supervisor (outside AdtU) (if any)	Member															
(v)	Supervisor of the Scholar	Convenor															
	4.4.	Functions of the RAC: The function of RAC is as follows:															
	4.4.1.	The RAC shall review the research proposal of the scholar and finalize the topic of research. After a successful presentation by the scholar, the RAC may recommend the same to the FRC/Board of Doctoral Studies.															
	4.4.2.	The RAC shall periodically review and assist in the progress of the Ph.D. scholar's research work.															
	4.4.3.	The RAC shall guide the Ph.D. scholar in developing the study design and methodology for the research, and identify the course(s) that the scholar may need to complete.															
	4.4.4.	The RAC shall guide the Ph.D. scholar in developing the study design and methodology for the research, and identify the course(s) that the scholar may															

			need to complete.																																	
		4.4.5.	Each semester, the Ph.D. scholar shall appear before the Research Advisory Committee to make a presentation and submit a six-monthly progress report of their work for evaluation and further guidance. The report of a successful presentation shall be forwarded to the FRC/Board of Doctoral Studies.																																	
		4.4.6.	The completion of the Ph.D. research work and thesis shall be checked by the concerned RAC, and the scholar shall present the work in front of the RAC. If satisfied with the presentation and the work completed by the scholar, the RAC may recommend it to the FRC/Board of Doctoral Studies for the pre-submission seminar.																																	
		4.4.7.	If the progress of the Ph.D. scholar is unsatisfactory, the Research Advisory Committee shall record the reasons for the same and suggest corrective measures. The RAC may ask the scholar to present their research again before the RAC.																																	
		4.4.8.	The RAC may recommend the cancellation of a Ph.D. degree for a scholar, as per the norms mentioned in these Ph.D. regulations.																																	
5.	VARIOUS Ph.D. PROGRAMMES OF ADTU:																																			
5.1.	<p>AdtU offers Ph.D. degree in different disciplines/departments under the different Faculty of Study. The detailed list of disciplines/departments under various Faculty of Studies of AdtU is offering Ph.D. programme is as follows:</p> <p>Table 1: List of different approved Disciplines/Departments for Ph.D. degree</p> <table border="1"> <thead> <tr> <th>Faculty of Study</th> <th colspan="2">Disciplines/Departments</th> </tr> </thead> <tbody> <tr> <td>Pharmaceutical Science</td> <td colspan="2">Pharmaceutical Science</td> </tr> <tr> <td>Science</td> <td>Biotechnology Biochemistry Botany Food, Nutrition and Dietetics</td> <td>Mathematics Microbiology Physics Chemistry Zoology</td> </tr> <tr> <td>Humanities and Social Science</td> <td>Psychology Sociology</td> <td>Social Work English</td> </tr> <tr> <td>Paramedical Sciences</td> <td colspan="2">Dialysis Operation Theatre Emergency & Critical Care Optometry Medical Laboratory Technology Radiology and Imaging Technology Trauma Emergency and Disaster Management</td> </tr> <tr> <td>Physiotherapy and Rehabilitation</td> <td colspan="2">Physiotherapy</td> </tr> <tr> <td>Commerce & Management</td> <td colspan="2">Management</td> </tr> <tr> <td>Engineering</td> <td colspan="2">Civil Engineering Computer Science and Engineering Mechanical Engineering</td> </tr> <tr> <td>Computer Technology</td> <td colspan="2">Computer Science</td> </tr> <tr> <td>Nursing</td> <td colspan="2">Nursing</td> </tr> <tr> <td>Agricultural Sciences and Technology</td> <td colspan="2">Agricultural Sciences and Technology</td> </tr> </tbody> </table> <ul style="list-style-type: none"> • Ph.D. will be offered in the above mentioned subjects only. 			Faculty of Study	Disciplines/Departments		Pharmaceutical Science	Pharmaceutical Science		Science	Biotechnology Biochemistry Botany Food, Nutrition and Dietetics	Mathematics Microbiology Physics Chemistry Zoology	Humanities and Social Science	Psychology Sociology	Social Work English	Paramedical Sciences	Dialysis Operation Theatre Emergency & Critical Care Optometry Medical Laboratory Technology Radiology and Imaging Technology Trauma Emergency and Disaster Management		Physiotherapy and Rehabilitation	Physiotherapy		Commerce & Management	Management		Engineering	Civil Engineering Computer Science and Engineering Mechanical Engineering		Computer Technology	Computer Science		Nursing	Nursing		Agricultural Sciences and Technology	Agricultural Sciences and Technology	
Faculty of Study	Disciplines/Departments																																			
Pharmaceutical Science	Pharmaceutical Science																																			
Science	Biotechnology Biochemistry Botany Food, Nutrition and Dietetics	Mathematics Microbiology Physics Chemistry Zoology																																		
Humanities and Social Science	Psychology Sociology	Social Work English																																		
Paramedical Sciences	Dialysis Operation Theatre Emergency & Critical Care Optometry Medical Laboratory Technology Radiology and Imaging Technology Trauma Emergency and Disaster Management																																			
Physiotherapy and Rehabilitation	Physiotherapy																																			
Commerce & Management	Management																																			
Engineering	Civil Engineering Computer Science and Engineering Mechanical Engineering																																			
Computer Technology	Computer Science																																			
Nursing	Nursing																																			
Agricultural Sciences and Technology	Agricultural Sciences and Technology																																			

		<ul style="list-style-type: none"> • However, modification/addition of the discipline/department of the Ph.D. program can be allowed after approval of ADRAC and AC.
5.2.		A Ph.D. research scholar registered in a department/discipline of a Faculty of Study under a Supervisor from the same or different discipline/department of the same or different Faculty of Study, on successful completion of his/her research work and fulfilment of all other required conditions, will be eligible for the award of the degree of Doctor of Philosophy in that discipline in which he/she had registered.
5.3.		AdtU can also allow the candidate to pursue the Ph.D. research work of multidisciplinary in nature. However, the degree will be awarded for the discipline/department in which he/she is registered.
6.	ELIGIBILITY CRITERIA FOR ADMISSION TO Ph.D. PROGRAMME:	
6.1.	Eligibility Criteria for Admission to Ph.D. Programme (except Faculty of Nursing):	
	6.1.1.	<p>Candidates who have completed:</p> <p>A 1-year/2-semester master's degree programme after a 4-year/8-semester bachelor's degree programme or a 2-year/4-semester master's degree programme after a 3-year bachelor's degree programme or qualifications declared equivalent to the master's degree by the corresponding statutory regulatory body, with at least 55% marks in aggregate or its equivalent grade in a point scale wherever grading system is followed</p> <p>or equivalent qualification from a foreign educational institution accredited by an assessment and accreditation agency which is approved, recognized or authorized by an authority, established or incorporated under a law in its home country or any other statutory authority in that country to assess, accredit or assure quality and standards of the educational institution. A relaxation of 5% marks or its equivalent grade may be allowed for those belonging to SC/ST/OBC (non-creamy layer)/Differently-Abled, Economically Weaker Section (EWS) and other categories of candidates as per the decision of the Commission from time to time.</p> <p>Provided that a candidate seeking admission after a 4-year/8-semester bachelor's degree programme should have a minimum of 75% marks in aggregate or its equivalent grade on a point scale wherever the grading system is followed. A relaxation of 5% marks or its equivalent grade may be allowed for those belonging to SC/ST/OBC (non-creamy layer)/Differently-Abled, Economically Weaker Section (EWS) and other categories of candidates as per the decision of the Commission from time to time. However, such candidates will only be allowed under the full time Ph.D. Program of the University.</p>
	6.1.2.	<p>Candidates who have completed the M.Phil. programme with at least 55% marks in aggregate or its equivalent grade in a point scale wherever grading system is followed or equivalent qualification from a foreign educational institution accredited by an assessment and accreditation agency which is approved, recognized or authorized by an authority, established or incorporated under a law in its home country or any other statutory authority in that country to assess, accredit or assure quality and standards of educational institutions, shall be eligible for admission to the Ph.D. programme. A relaxation of 5% marks or its equivalent grade may be allowed for those belonging to SC/ST/OBC (non-creamy layer)/Differently-Abled, Economically Weaker Section (EWS) and other categories of candidates as per the decision of the Commission from time to time.</p>
6.2.	Eligibility Criteria for Admission to Ph.D. Programme (only for Faculty of Nursing):	

		Applications are invited from eligible scholars who have obtained: a. M.Phil. (Nursing) or M.Sc. (Nursing) or a Post Graduate degree in Nursing recognized by Council with 3 years teaching or Clinical experience after M.Sc. (Nursing) for enrolment for Ph.D. in Nursing (part-time) under Faculty of Nursing in the respective University. b. Scholars should have passed M.Sc. (Nursing) with a minimum of 60% marks. c. Working in Teaching Institution/Hospital/Community Centre/Nursing Department within the University jurisdiction.
	6.3.	Categories of Ph.D. Scholars
	6.3.1.	Full-time scholars: Full time research scholars are those who register for Ph.D. on full time basis and are not employed anywhere.
	6.3.2.	Part-time scholars: Part time scholars are those who are presently employed in any University/College/Hospital/Institute etc. and obtained the NOC from his parent organization. The scholars who are also regular/contractual employee of AdtU will also be considered as Part time scholars, however they are also required to submit the NOC. Part-time scholars must complete the residency period as mentioned in this regulation.
	6.3.3.	The change of the categories of Ph.D. scholars as mentioned in clause no 6.3.1. and 6.3.2 above are allowed only after the approval of Hon'ble Vice Chancellor.
7.	PROCEDURE FOR ADMISSION IN Ph.D. PROGRAMME	
	7.1.	Ph.D. scholars will be admitted through AdtU-Research Entrance Test (AdtU-RET).
	7.2.	The Ph.D. admission will be made in both the semesters of the year. However, the AdtU-RET may be conducted in multiple times as notified by the university.
	7.3.	The Ph.D. Cell will ascertain the number of vacancies (Supervisor wise) in different Faculty of Studies in advance based on the information received from different Faculty of Studies, so that the notification for AdtU-RET can be issued.
	7.4.	Ph.D. Cell decides the date of holding AdtU-RET examination.
	7.5.	Registrar issues the notification for AdtU-RET examination through advertisement in national and regional newspapers and the University website.
	7.6.	CoE then shall conduct the AdtU-RET examination and declare the results.
	7.7.	Declaration of results of AdtU RET: While declaring the result of AdtU-RET, the following shall consider: a) The number of qualified candidates in the merit list should tally with the number of vacancies in the department/ discipline of the respective Faculty of Study. b) Other qualified candidates will be declared as waitlisted candidates discipline wise under the FRC. c) The AdtU-RET result should also display the names of Supervisors along with the departments against the merit listed candidates. d) Final Result will be declared by CoE.
	7.8.	Appropriate measures shall be taken to maintain confidentiality of the test all throughout the process.
	7.9.	AdtU-Research Entrance Test (AdtU-RET) for Admission in Ph.D. (except Ph.D. admission under Faculty of Nursing):
	7.9.1.	The AdtU-RET examination has the following two components: a) Written test b) Interview/Personal interaction
	7.9.2.	Exemption from Entrance test: The candidates who have qualified UGC-NET/UGC CSIR-NET/GATE/GPAT/CEED/SLET/SET in the concerned

		subject are exempted from the written examination of AdtU-RET. However, they shall have to appear in interview/personal interaction for getting admission into the programme.
	7.9.3.	Written test of AdtU-RET: The written examination comprises seventy (70) marks. The written examination syllabus shall consist of 50% of research methodology, and 50% shall be subject specific. Candidates who have secured 50% marks in the entrance test are eligible to be called for the interview. A relaxation of 5 % marks will be allowed in the entrance examination for the candidates belonging to SC/ST/OBC/differently-abled category, Economically Weaker Section (EWS), and other categories of candidates as per the decision of the UGC from time to time.
	7.9.4.	Interview/Personal interaction: The assessment through personal interaction will be based on 30 marks. The interaction will be conducted by the concerned FRC based on the candidate's application. The interaction will include a mandatory presentation by the candidate on a tentative research topic/idea of his/her chosen field.
	7.9.5.	Selection of candidates based on the entrance test conducted by the University, a weightage of 70% for the entrance test and 30% for the performance in the interview/viva- voce shall be given.
	7.9.6.	National Eligibility Test (NET) will be treated as Entrance Test for Admission of Ph.D. as notified by UGC.
	7.9.7.	The FRC of the concerned faculty conducting the interaction of the candidates for admission into the Ph.D. programme shall also be responsible for allocation of the Supervisors to the students on the basis of available seats within the limit of number of Ph.D. research scholars under the eligible faculty member.
	7.9.8.	CoE will conduct the written test (online/ offline mode). Result of written test will be cleared by CoE. FRC/Board of Doctoral Studies will conduct the Interview/Personal interaction and submit the report to Ph.D. Cell/CoE. FRC should allot the supervisor against the scholars.
	7.10.	AdtU-Research Entrance Test (AdtU-RET) for Admission in Ph.D. (only for Ph.D. admission under Faculty of Nursing):
	7.10.1.	Admission in the Ph.D. program under Faculty of Nursing will be based on the AdtU-RET
	7.10.2.	Written test of AdtU-RET: The written examination comprises ninety (90) marks. The written examination syllabus shall consist of 50% of research methodology, and 50% shall be subject specific. Minimum weightage pass marks for written entrance will be 60% of 90 i.e. 54. Candidates who have secured 60% marks in the entrance test are eligible to be called for the interview.
	7.10.3.	5 marks will be allotted for candidates based on the interview. 5 marks weightage for publications. Weightage for publications will be given from the publications in peer reviewed, UGC Care listed journal with ISSN No.) <ul style="list-style-type: none"> • 1 publication – 1 mark • 2 publication – 2 marks • 3 publication – 3 marks • 4 publication – 4 marks • 5 & above publication – 5 marks *In case of same marks achieved in the entrance examinations, next criteria that should be considered are the following:

			<ul style="list-style-type: none"> i. Highest numbers of journal publications with high impact factor. ii. M.Sc. (N) aggregate percentage. iii. Upper Age of the candidate.
	7.11.	Final AdtU-RET result will be published and notified by CoE.	
	7.12.	The Ph.D. Admission Cell will communicate about selection to the successful candidates and send the 'Form for Ph.D. admission' to be filled in and submitted along with the check list of required documents to be submitted by the candidates along with the duly filled in form for admission into the Ph.D. programme of AdtU.	
	7.13.	The eligible candidates who have cleared the AdtU-RET shall apply, within the stipulated time as notified for admission to the Ph.D. programme.	
	7.14.	<p>The Ph.D. admission office will verify the following documents of the candidates:</p> <ul style="list-style-type: none"> (i). Original mark sheets/certificates/testimonials from HSLC onwards (ii). Caste certificate/Differently able certificate as the case may be. (iii). No Objection Certificate from the employer (if the candidate is employed). (iv). A letter from the employer stating that the candidate, as a part-time Ph.D. scholar of AdtU, will be provided with the facility and opportunity to conduct research under the Ph.D. program at the institution where the candidate is employed. (v). Address and identity proof such as Aadhaar Card / Voter ID / Passport etc. (vi). In case of foreign students (Additional documents required): <ul style="list-style-type: none"> a) Relevant pages in the passport, if it contains a visa for pursuing higher education/ Research in India. If, no such visa for pursuing higher education is stamped in the passport, a NOC from the Embassy/High Commissioner of India in the country of origin of the research scholar. b) NOC from the Embassy/High Commissioner of the country of origin of the research scholar, in India 	
	7.15.	The scholar must submit the NOC (in case of part time scholars) and declaration in the format provided by the University at the time of admission.	
	7.16	Directorate of International Affairs will verify all the requisite documents necessary for admission in AdtU and complete the other processes required for the admission as international scholar as per norms.	
	7.17.	Following document verification and payment of fees, the selected candidates will be admitted to the University for Ph.D. programme. All the information and files generated for the Ph.D. admission of the candidates will be communicated by the Ph.D. Cell to respective FRC/Board of Doctoral Research. The admitted Ph.D. scholars will be allowed to register in the mandatory course work.	
	7.18.	In case, any selected candidate fails to take admission in the stipulated time, the seat shall be forfeited and the same shall be offered to the next candidate next in the waiting list.	
8.	RECOGNITION & ALLOCATION OF RESEARCH SUPERVISOR		
	8.1.	Eligibility criteria to be a recognize Supervisor, Supervisor (outside AdtU), Co-supervisor of AdtU	
		8.1.1.	Permanent faculty members working as Professor/Associate Professor with a Ph.D./MD degree and at least five research publications in peer-reviewed or refereed journals may be recognized as a Research Supervisor in the university.
		8.1.2.	Permanent faculty members working as Assistant Professors in with a Ph.D. and at least three research publications in peer-reviewed or refereed journals may be recognized as a Research Supervisor in the university.
	8.2.	Recognized Ph.D. supervisors who are permanent faculty members of AdtU cannot supervise research scholars at other institutions as Supervisor. However, they may act as co-supervisors at other universities/institutes, subject to approval from AdtU.	
	8.3.	Co-Supervisors /Joint Supervisor from within the same department or other departments	

		of the same institution or other institutions may be permitted with the approval of the competent authority.
8.4.		In case of interdisciplinary/multidisciplinary research work, if required, a Co-Supervisor/Supervisor (outside AdtU) from outside the University may be appointed.
8.5.		Potential applicant outside the AdtU having a research experience and fulfil the criteria of research publication as mentioned in clause no 8.1 can be recognized as Supervisor (outside AdtU) and can be attached to a scholar on recommendation of FRC/Board of Doctoral Studies. However, in such case the main supervisor should be from AdtU.
8.6.		Co-Supervisors from within the same department or other departments of the AdtU or other institutions may be permitted with the approval of the competent authority.
8.7.		Adjunct Faculty members shall not act as Research Supervisors and can only act as co-supervisors.
8.8.		In case of an agreement (MoU) between AdtU and central/state government research institutions, the university may allow Ph.D. scholars working in these institutions to enroll as Ph.D. scholars of AdtU. In such cases, scientists in these research institutions who are equivalent to Professors, Associate Professors, or Assistant Professors may be recognized as supervisors for those scholars, provided they fulfill the requirements mentioned in Clause 8.1.
8.9.		An eligible Professor/Associate Professor/Assistant Professor can guide up to eight (8) / six (6) / four (4) Ph.D. scholars, respectively, at any given time. However, Ph.D. supervisors of Faculty of Nursing can select two scholars/year but not more than 6 scholars at any given period of time.
8.10.		Each supervisor can guide up to two international research scholars on a supernumerary basis over and above the permitted number of Ph.D. scholars as specified in clause 8.9 above (except Faculty of Nursing).
8.11.		Faculty members with less than three years of service before superannuation shall not be allowed to take new research scholars under their supervision. However, such faculty members can continue to supervise Ph.D. scholars who are already registered until superannuation and as a co-supervisor after superannuation, but not after attaining the age of 70 years.
8.12.		At any point, the total number of Ph.D. scholars under a faculty member, either as a supervisor or a co-supervisor, shall not exceed the number prescribed in clause 8.9 and clause 8.10.
8.13.		Interested and eligible Assistant Professors, Associate Professors, and Professors shall submit their applications in the prescribed format along with the requisite documents through the concerned FRC for consideration as Ph.D. supervisors. The Supervisor Application Screening Committee will evaluate the application and forward its recommendation to ADRAC. Based on the recommendation, ADRAC may accept the candidate's application, after which the appointment letter for Ph.D. supervisor will be issued by the Registrar/Dy. Registrar (Academic)
8.14.		The applicant may propose the name of a potential supervisor during the application for Ph.D. admission; however, the final allotment of the Ph.D. supervisor shall be at the discretion of the university.
9.	COURSE WORK	
9.1.		Immediately after admission, all the Ph.D. research scholars (including Faculty of Nursing) shall register into the Ph.D. Coursework by filling in the requisite Proforma.
9.2.	Course work Time Line, Syllabus and Process	
	9.2.1.	The admitted Ph.D. students shall have to undergo one semester mandatory Pre-Registration Course Work of six months. At the end of the course work, each student has to appear in Pre-Registration Course Work Examination.
	9.2.2.	Course structure of the Ph.D. Course Work: All Ph.D. students should

undertake Courses as mentioned in (Table 2).

Table 2. Course structure of the Ph.D. Course Work

Course no.	Course	Remark	Teaching Hours /week						Credit (C)
			L	T	P	S	R	O/F	
Course - I	Research Methodology	Common to all disciplines/ departments	3	0	0	0	0	0	3
Course - II	Statistical Methods & Computer Application	Common to all disciplines/ departments	2	0	2	0	0	0	3 (2+1)
Course - III	Research and Publication Ethics	Common to all disciplines/ departments	1	0	2	0	0	0	2 (1+1)
Course - IV	Instrumental Tools and Methods	Discipline/ department specific	2	0	4	0	0	0	4 (2+2)
Course - V	Literature Survey	Discipline/ department specific	0	0	0	0	12	0	2
Total			8	0	8	0	12	0	14

L, Lecture; T, Tutorial; P, Practice/ Practical; S, Skill; R, Research; O, Outreach

9.2.3.

Assessment Process: The Evaluation of each of the courses except Course V shall be based on 100 marks, of which 40% will be taken for continual Internal Assessment and the rest 60% marks will be for written examination at the semester end. Course V will be will be evaluated by the concern FRC on the basis of quality of the literature survey and oral examination and a PPT presentation before the FRC/Board for Doctoral Studies.

Table 3 includes the scheme for internal assessments and end semester examination.

Table 3: Scheme for internal assessments and end semester examination

Course no.	Name of the course	Internal assessment				Semester End Examination		Grand total marks
		Part-1: Continuous mode*	Part – 2: Sessional exams# Marks Duration		Total	Marks	Duration	
Course - I	Research Methodology	20	10+10	1 hr.	40	60	2 hrs.	100
Course - II	Statistical Methods & Computer Application	20	10+10	1 hr.	40	60	2 hrs.	100
Course - III	Research and Publication Ethics	20	10+10	1 hr.	40	60	2 hrs.	100
Course - IV	Instrumental Tools and Methods	20	10+10	1 hr.	40	60	2 hrs.	100
Course - V	Literature Survey	20	20**	-	40	60**	-	100
Total		100	100		200	300		500

			<p>Note: *Part-1 (Continuous assessment) marks will be given based attendance in coursework class, score in assignments and presentation and overall impression. #Sessional exam will be conducted twice and each consists of 10 marks and duration of exam will be 1 h. ** indicates that the sessional examination part of the internal/ semester end evaluation assessment of Course- V. This will also be on the basis of assignment/ presentation arranged by the FRC before the respective department.</p>																																
		9.2.4.	<p>Minimum eligibility requirement of class attendance for appearing in coursework examination: Failing to attend at least 75% of classes in the courses will debar the scholar from appearing in the semester end course work examination. Such students may join in the course work of the next semester to continue their Ph.D. programme.</p>																																
		9.2.5.	<p>Grading of performance: In calculation of marks for deciding on the grade in a course, the combined marks secured in both the components (i.e. internal assessment and semester end examination) shall be considered. The different percentage of marks and corresponding grade score are presented in Table 4. A candidate must secure a minimum of letter grade ‘D’ and corresponding Numeric Grade 6 to pass the Pre-Registration Course Work Examination in a course.</p> <p style="text-align: center;">Table 4: Grading of performances</p> <table border="1" style="margin-left: auto; margin-right: auto;"> <thead> <tr> <th>% of marks obtained</th> <th>Letter Grade</th> <th>Numeric Grade</th> <th>Performance</th> </tr> </thead> <tbody> <tr> <td>90 – 100</td> <td>O</td> <td>10</td> <td>Outstanding</td> </tr> <tr> <td>80 – 89.9</td> <td>A</td> <td>9</td> <td>Excellent</td> </tr> <tr> <td>70 – 79.9</td> <td>B</td> <td>8</td> <td>Good</td> </tr> <tr> <td>60 – 69.9</td> <td>C</td> <td>7</td> <td>Fair</td> </tr> <tr> <td>50 – 59.9</td> <td>D</td> <td>6</td> <td>Average</td> </tr> <tr> <td>Less than 50</td> <td>F</td> <td>0</td> <td>Fail</td> </tr> <tr> <td>Absent</td> <td>AB</td> <td>0</td> <td>Fail</td> </tr> </tbody> </table>	% of marks obtained	Letter Grade	Numeric Grade	Performance	90 – 100	O	10	Outstanding	80 – 89.9	A	9	Excellent	70 – 79.9	B	8	Good	60 – 69.9	C	7	Fair	50 – 59.9	D	6	Average	Less than 50	F	0	Fail	Absent	AB	0	Fail
% of marks obtained	Letter Grade	Numeric Grade	Performance																																
90 – 100	O	10	Outstanding																																
80 – 89.9	A	9	Excellent																																
70 – 79.9	B	8	Good																																
60 – 69.9	C	7	Fair																																
50 – 59.9	D	6	Average																																
Less than 50	F	0	Fail																																
Absent	AB	0	Fail																																
		9.2.6.	<p>The Semester Grade Point Average (SGPA): The performance of a Ph.D. scholar in the course work will be indicated by a parameter called SGPA. The SGPA is the weighted average of the grade points obtained in all the courses by the scholar during the semester. For example, if a scholar takes four courses in a semester with credits C₁, C₂, C₃ and C₄ and the scholar’s numeric grades in these courses are G₁, G₂, G₃ and G₄, respectively, then scholar’s SGPA will be equal to:</p> $SGPA = \frac{\sum_{i=1}^4 C_i G_i}{\sum_{i=1}^4 C_i} = \frac{C_1 G_1 + C_2 G_2 + C_3 G_3 + C_4 G_4}{C_1 + C_2 + C_3 + C_4}$																																
		9.2.7.	<p>Failed/unsuccessful candidates may apply for re-evaluation/rechecking of their answer script as per AdtU examination norms.</p>																																
		9.2.8.	<p>Every Ph.D. research scholar shall be given a maximum of two chances to appear examination of each course and to clear course work successfully (including the first regular examination). If a student fails to appear in an examination after completion of course work, it will deem as losing a chance and he/she will be left with only one chance to clear examination (s) of course/courses.</p>																																
		9.2.9.	<p>The candidates who cannot clear the examination in the first chance, shall have to pass the same in the second (last chance), examination which shall be held within one month from the date of declaration of results of first regular examination.</p>																																

	9.2.10.	A candidate will need to appear only in the failed course(s) in the second chance (repeat examination) to clear a course.
	9.3.	Controller of Examination will declare the results after completion of course work examination.
10.	PROPOSAL PRESENTATION & REGISTRATION (except Faculty of Nursing)	
	10.1.	A candidate after passing course work examination successfully will be eligible for submission of research synopsis and proceed for registration.
	10.2.	The process of finalization of research area/topic/title should be initiated after admission under the supervisor of Supervisor and RAC. The preparation of the synopsis on research topic should be completed within 2 nd semester.
	10.3.	A scholar will submit all the documents requisite for synopsis presentation after verification from Supervisor and RAC.
	10.4.	A synopsis of the proposed research work after due verification shall be submitted to the concerned convenor of RAC, within a period of one month from the date of publication of result of the course work examination. RAC will evaluate the same and will suggest the necessary modification, if required.
	10.5.	After due modification the scholar will submit the following documents to RAC in duplicate: <ul style="list-style-type: none"> (i). Synopsis (ii). Supervisor(s) Declaration Form (iii). Proforma for Synopsis Submission (iv). Performa for application of Ph.D. registration. (v). Course Work Mark sheet (vi). Copy of the receipt of payment of registration fee in full (vii). Pan Card (in case of Indian National) (self-attested copy). (viii). In case of foreign students (Additional documents required): <ul style="list-style-type: none"> a. Relevant pages in the passport, if it contains a visa for pursuing higher education /research in India. If, no such visa for pursuing higher education is stamped in the passport, a NOC from the Embassy/High Commissioner of India in the country of origin of the research scholar. b. NOC from the Embassy/High Commissioner of the country of origin of the research scholar, in India
	10.6.	The scholar shall give a power point presentation before the RAC/FRC on the synopsis which is also called Ph.D. Registration Seminar. If there is any observation/comment/suggestion/modification made by the RAC/FRC, the scholar will have to resubmit two (2) copies of his/her revised synopsis to the RAC/FRC incorporating the suggestion/modification etc. made by the RAC/FRC within a period of one month from the day of presentation.
	10.7.	The Ph.D. research scholars will be able to avail 3 attempts to present his/her Ph.D. research synopsis to the satisfaction and acceptance by RAC/FRC and failing to clear the registration seminar even on third attempt, within six months after the completion of course work may lead to cancellation of his/her admission.
	10.8	Allotment of another Supervisor from collaborating university/institute/approved research centre may be allowed after recommendation of respective RAC/FRC in the case of Ph.D. researches that are multi-disciplinary/inter-disciplinary in nature. Only a full time regular teacher of the University/collaborating institute/approved research centre fulfilling the requirements as mentioned under clause No. 8 of this regulation shall be eligible to act as Supervisor upon approval of ADRAC. However, the Supervisor allotted from AdtU shall be responsible to look after and coordinate supervision, monitoring and

		progress of the entire research work of the Ph.D. scholar.
	10.9.	After successful presentation RAC will forward its recommendations regarding the registration of the scholar to the FRC. On acceptance of the report of RAC, FRC then will forward its recommendations regarding the registration of the scholar to the ADRAC.
	10.10.	Report of FRC will be presented in the meeting of ADRAC. After acceptance of recommendation at this stage, Ph.D. cell will forward the decision of the ADRAC granting registration of the Ph.D. scholar to the Registrar /Deputy Registrar (Academic).
	10.11.	After verifying all the document by the Ph.D. Cell, Registrar /Deputy Registrar (Academic). Will issue the Registration Letter Usually, the date of effect of registration should be the date of recommendation of registration by the concerned FRC.
	10.12	Candidates who are unable to complete register within the period of six months from the date of announcement of results of course work, may be allowed to do so only after due approval from Hon'ble Vice Chancellor but not later than one year from the announcement of the results of course work. Registration of such candidates will be processed with the Ph.D. research scholars of subsequent batch only.
	10.13.	It should however be noted that the entire process of issue of registration certificate should be completed within a period of two (2) months from the date of recommendation by the FRC for registration.
11.	PROPOSAL PRESENTATION & REGISTRATION (only for Faculty of Nursing)	
	11.1.	Scholars enrolled under the Faculty of Nursing are required to complete the following steps sequentially as part of their doctoral program: 1. Provisional Registration 2. Pre-Ph.D. Examination 3. Final Registration
	11.2.	Provisional Registration
	11.2.1.	A candidate after passing course work examination successfully and submission of first six monthly progress report will be eligible for submission of research synopsis and proceed for provisional registration.
	11.2.2.	The process of finalization of research area/topic/title should be initiated after admission under the supervisor of Supervisor and RAC. The preparation of the synopsis on research topic should be completed within 2 nd semester.
	11.2.3.	A scholar will submit all the documents requisite for synopsis presentation after verification from Supervisor and RAC. RAC will evaluate the same and will suggest the necessary modification, if required.
	11.2.4.	A synopsis of the proposed research work after due verification shall be submitted to the concerned convenor of RAC, within a period of one month from the date of publication of result of the course work examination.
	11.2.5.	After due modification the scholar will submit the following documents to RAC in duplicate: (i). Synopsis (ii). Supervisor(s) Declaration Form (iii). Proforma for Synopsis Submission (iv). Performa for application of Ph.D. Provisional registration. (v). Course Work Mark sheet (vi). Copy of the receipt of payment of provisional registration fee (vii). Pan Card (in case of Indian National) (self-attested copy). (viii). In case of foreign students (Additional documents required): a) Relevant pages in the passport, if it contains a visa for pursuing

		<p>higher education /research in India. If, no such visa for pursuing higher education is stamped in the passport, a NOC from the Embassy/High Commissioner of India in the country of origin of the research scholar.</p> <p>b) NOC from the Embassy/High Commissioner of the country of origin of the research scholar, in India</p>
	11.2.6.	The scholar shall give a power point presentation before the RAC/Board of Doctoral Studies on the synopsis. If there is any observation/comment/suggestion/modification made by the RAC/ Board of Doctoral Studies, the scholar will have to resubmit two (2) copies of his/her revised synopsis to the RAC/ Board of Doctoral Studies incorporating the suggestion/modification etc. made by the RAC/ Board of Doctoral Studies within a period of one month from the day of presentation.
	11.2.7.	The Ph.D. research scholars will be able to avail 3 attempts to present his/her Ph.D. research synopsis to the satisfaction and acceptance by RAC/ Board of Doctoral Studies and failing to clear the registration seminar even on third attempt, within six months after the completion of course work may lead to cancellation of his/her admission.
	11.2.8.	Allotment of another Supervisor from collaborating university/institute/approved research centre may be allowed after recommendation of respective RAC/ Board of Doctoral Studies in the case of Ph.D. researches that are multi-disciplinary/inter-disciplinary in nature. Scholars can have co-guide from nursing or other disciplines if necessary. The Ph.D. doctoral holders who are within health care disciplines can be appointed as co-guides.
	11.2.9.	After successful presentation RAC will forward its recommendations regarding the registration of the scholar to the Board of Doctoral Studies. On acceptance of the report of RAC, Board of Doctoral Studies then will forward its recommendations regarding the registration of the scholar to the ADRAC.
	11.2.10.	Report of FRC will be presented in the meeting of ADRAC. After acceptance of recommendation at this stage, Ph.D. cell will forward the decision of the ADRAC granting provisional registration of the Ph.D. scholar to the Registrar /Deputy Registrar (Academic).
	11.2.11.	After verifying all the document by the Ph.D. Cell, Registrar /Deputy Registrar (Academic). Will issue the Registration Letter Usually, the date of effect of provisional registration should be the date of recommendation of registration by the concerned Board of Doctoral Studie.
	11.2.12.	Candidates who are unable to complete register within the period of six months from the date of announcement of results of course work, may be allowed to do so only after due approval from Hon'ble Vice Chancellor but not later than one year from the announcement of the results of course work. Registration of such candidates will be processed with the Ph.D. research scholars of subsequent batch only.
	11.2.13.	It should however be noted that the entire process of issue of registration certificate should be completed within a period of two (2) months from the date of recommendation by the Board of Doctoral Studie for registration.
	11.3.	Pre-Ph.D. Examination
	11.3.1.	The final registration of the candidate shall be confirmed only after he/she has passed the Pre-Ph.D. examination which shall be conducted after the

			completion of one year from the date of provisional registration of the candidate.																				
		11.3.2.	The scheme of Pre-Ph.D. examination to be conducted by the University shall be as follows: <table border="1"> <thead> <tr> <th>Sl No.</th> <th>Subject</th> <th>Duration</th> <th>Marks</th> </tr> </thead> <tbody> <tr> <td>Paper I</td> <td>Research Methodology & Applied Statistics</td> <td>3 hrs</td> <td>100</td> </tr> <tr> <td>Paper II</td> <td>Nursing Science & Theory Development</td> <td>3 hrs</td> <td>100</td> </tr> <tr> <td></td> <td>Seminar/Term paper in the area of research (Internal assessment)</td> <td></td> <td>100</td> </tr> <tr> <td></td> <td>Viva Voce (Proposal defense)*</td> <td></td> <td>100</td> </tr> </tbody> </table>	Sl No.	Subject	Duration	Marks	Paper I	Research Methodology & Applied Statistics	3 hrs	100	Paper II	Nursing Science & Theory Development	3 hrs	100		Seminar/Term paper in the area of research (Internal assessment)		100		Viva Voce (Proposal defense)*		100
Sl No.	Subject	Duration	Marks																				
Paper I	Research Methodology & Applied Statistics	3 hrs	100																				
Paper II	Nursing Science & Theory Development	3 hrs	100																				
	Seminar/Term paper in the area of research (Internal assessment)		100																				
	Viva Voce (Proposal defense)*		100																				
		11.3.3.	70% attendance is compulsory for contact hours. If the student does not have 70%, he/she will not be allowed to appear for the Pre-Ph.D. examination, however they have to make up for the same next academic year.																				
		11.3.4.	* > = 60% of marks will be considered as pass.																				
		11.3.5.	Scope for changing the research topic: The research topic can be changed within one year from the completion of Pre-Ph.D. examination.																				
		11.3.6.	The Board of Research/Doctoral Studies shall prepare a panel of both external & internal examiners and will constitute the Board of Examiners for the conduct of the Pre-Ph.D. examination.																				
		11.3.7.	The Board of Examiners for the Pre-Ph.D. examination consists of the following:																				
		11.3.7.1.	There shall be double valuation of the answer scripts of Paper I and Paper II by the same examiners who have set the question papers. The marks list shall be sent by the examiners directly to CoE of the University concerned.																				
		11.3.7.2.	If the difference of the marks in valuation between the two examiners exceeds 15% of the concerned answer scripts, the same will be valued by the third examiner. The marks awarded by the third examiner shall be sent to COE of the University concerned for the announcement of results.																				
		11.3.7.3.	The Viva-Voce (proposal defense) examination shall be conducted in presence of the panel consisting of: <ul style="list-style-type: none"> • Two examiners (Subject Experts) appointed by the University • Guide/Co-Guide 																				
		11.3.8.	The candidate shall be declared as successful in the examination if he/she secured not less than 60% of marks in each paper.																				
		11.3.8	In case the candidate is not successful in the Pre-Ph.D. examination in the first attempt he/she may be given two more chances to appear for entire examination after a period of six months. Further, if he/she is unable to attend or appear in the supplementary examination, then he/she has to appear in the next regular examination, after that he/she will not be permitted to sit in the examination.																				
		11.3.9.	If the candidate is not successful in the Pre-Ph.D. examination, even in the second appearance, his/her provisional registration shall stand cancelled.																				
	11.3.10.	Final Registration																					
		11.3.10.1.	A candidate after passing pre-Ph.D. examination successfully will																				

			be eligible for final registration.
		11.3.10.2.	Whether there is any rule after how many months they will be eligible for Final Registration – May Be 2 years
			Selected Candidates applying for registration shall submit the duly filled Final Registration form through Supervisor, RAC and Dean of Faculty of Nursing within the stipulated time along with the prescribed fee. The candidate shall furnish the information detailed below: <ul style="list-style-type: none"> a. Qualification and details of previous study and research, if any, and full particulars of the publications to his/her credit in accredited journals. (attested copies to be furnished) b. Name and address of the recognized Guide/Co-Guide under whom the candidate proposes to carry out research. c. Name of the department and institution where the candidate proposes to work and to conduct research. d. Title and synopsis of the proposed research work. e. Duly filled application for Final Registration. f. NOC from the institute from where the candidate is employed.
		11.3.10.3.	All applications received by the University before the stipulated time and date shall be placed before the Board of Research/Doctoral Studies. The Board/Committee shall examine the applications and after screening process shall recommend to the University for Final Registration within three months from the last date notified for the receipt of applications.
		11.3.10.4.	Final registration shall be effective from the date mentioned as the last date for payment of prescribed fees in the concerned specialty for the selected candidate.
		11.3.10.5.	No candidate shall, without previous permission of the respective RAC/Board of Studies join any other course of study or appear for any other examination conducted by the University or by any other University during the period of registration.
12. SIX MONTHLY PROGRESS REPORT OF RESEARCH WORK			
	12.1.	The research scholar will submit a progress report of his/her Ph.D. research work to the concerned RAC/FRC/Board of Studies every six month, within the stipulated period, followed by a power point presentation on the progress report before the concerned RAC/FRC/Board of Studies.	
	12.2.	Scholars of all Faculty of Study except nursing shall submit the progress report every six month from the date of registration	
	12.3.	Scholars of Faculty of Nursing shall submit the progress report every six month from the date of completion of Ph.D. Course Work.	
	12.4.	The scholar will incorporate the suggestions/modifications (if any) suggested by the RAC/FRC/ Board of Studies into the progress report and will submit a revised progress report in triplicate to the RAC/FRC/ Board of Studies, duly certified by the Supervisor to the effect that the modifications/suggestions have been incorporated. In case, there is suggestion for repeating some experiments for appropriate modification of the progress report, the revised progress report may be submitted along with next progress report.	
	12.5.	The FRC/ Board of Studies will forward the six-monthly progress reports to the ADRAC.	
	12.6.	A minimum of four half yearly Ph.D. research work progress reports of the scholar (for the scholars of all Faculty of Study except nursing) and five half yearly Ph.D. research work progress reports of the scholar (for the scholars of Faculty of Nursing) for the, which are duly approved by the FRC, is a necessary pre-requisite for pre-submission of one Ph.D. scholar thesis.	

12.7.	In case, the progress of the research scholar is unsatisfactory, the RAC/FRC/ Board of Studies shall record the reasons for the same and suggest corrective measures. If the research scholar fails to implement these corrective measures, the RAC/FRC/ Board of Studies may then recommend to the ADRAC with specific reasons for cancellation of the registration of the research scholar keeping in mind the maximum permissible duration of the Ph.D. programme.
12.8.	In case of inability of the scholar to submit a six monthly progress report and to make subsequent power point presentation, he/she may be permitted for an extension of a period of maximum six months to submit the pending progress report and presentation. However, the scholar would be allowed to avail this facility only once during his/her entire research tenure.
12.9.	No two half yearly progress report should be submitted simultaneously.
12.10.	After availing the concession accorded in clause 11.6, above, any subsequent non-submission of progress report within the prescribed date as earmarked at the time of preparation of activity chart of Ph.D. programme, will be viewed with concern and such lapse may lead to cancellation of his/her registration.
13.	MODIFICATION OF THESIS TITLE/SCOPE OF WORK
13.1.	On recommendation of the Supervisor and RAC, a candidate may be allowed to apply to the Chairperson (Executive) of the concerned FRC for modification of the title of the thesis/ scope of work.
13.2.	FRC / Board of Studies / ADRAC may also suggest modification in the Ph.D. title as a when necessary.
13.3.	The FRC/Board of Studies after examining the proposed modification shall send the recommendation to the ADRAC: <ul style="list-style-type: none"> i. It must be noted that such modification of title must not alter the basic essence or contents of the research work. ii. No modification/alteration of the research title is permissible after the approval of the report of the pre-submission thesis seminar by ADRAC.
14.	MODE OF Ph.D. DEGREE
14.1.	There will be no Ph.D. programme offered through distance education mode under AdtU.
14.2.	Ph.D. Can be provided through Part-time / Full-time mode. Ph.D. degrees that are pursued either full-time or part-time will be treated as degrees awarded through Regular Mode.
14.3.	Part-time Ph.D. will be provided to the candidate who are who are presently employed in any University/College/Hospital/Institute etc. and obtained the NOC from his parent organization. Part-time Ph.D. will be allowed provided all the relevant conditions mentioned in different clauses under this regulation including the minimum residency/contact period (as per Clause No. 15) are fulfilled.
14.4.	Full-time Ph.D. will be provided to the scholars are those who register for Ph.D. on full time basis and are not employed anywhere. JRF/SRF/Research scholars under any funded projects of AdtU will be considered as Full time Ph.D. if enrolled under AdtU during his/her employment under the funded project.
15.	MINIMUM RESIDENCY/CONTACT PERIOD
15.1.	Minimum residency/contact period requirement of a part-time Ph.D. scholar in AdtU will be 150 working days excluding the course work period
15.2.	Attendance records of such scholars will be maintained by the respective Supervisors/member secretaries of RAC/FRCs/Board of Studies. The concerned FRC/Board of Studies will report the matter to ADRAC if a part-time scholar fails to attain the required attendance.
15.3.	The residency/contact period requirement ensures that the research scholars spend certain minimum time in the university utilising the physical infrastructure like laboratories,

		libraries etc. and having personal contact with their Supervisors.
	15.4.	However, for research programme where field work undertaken in pursuit of his/her research work with the consent/permission of research Supervisor may be considered towards residency/contact period requirement of AdtU of a part-time research scholar. However, such period must not exceed one-third of the minimum residency/contact period requirement.
16.	PUBLICATION CLAUSE	
	16.1.	The RAC/FRC/Board of Studies should ensure that the candidate fulfils the publication clauses before recommending submission of the abstract and the thesis.
	16.2.	The publications must contain names of the authors including the Supervisor(s) in addition to the Ph.D. scholar's own name as the first author. The Supervisor of the Ph.D. scholar from the university should preferably be the corresponding author in the publications made out of the research work.
	16.3.	The affiliation of all the authors should be shown in the publications and mention of @adtu in email. Affiliation should be written correctly.
	16.4.	A scholar has to publish minimum of three (03) journal papers from his/her Ph.D. work <ul style="list-style-type: none"> a. At least one (01) article should be published in Impact Factor Journal (Thomson Reuters- Clarivate Analytics Journal Citation Reports). b. One (01) article should be published in Scopus/Web of Science indexed journal or in journals specified in (a) c. One article (01) in Annals of Multidisciplinary Research, Innovation and Technology (AMRIT) Journal or in journals specified in (a) or (b). d. With respect to the nature of published articles, at least 2 must be research articles out of 3 articles.
	16.5.	Scholar has to make one (01) research work presentation related to his/her Ph.D. work in international conference/seminar.
17.	CLEARANCE OF ETHICS COMMITTEE	
	17.1.	All the scholars must obtain the clearance from AdtU Ethics Committee wherever applicable, in relation to his/her work. Scholars must obtained ethical clearance within 1 year from the date of Ph.D. provisional registration/ Ph.D. registration. However, the scholar also may obtain the Human Ethics Committee Clearance from other recognized institute/hospital where he/she is pursuing the study.
	17.2.	Scholars must obtain the clearance from Institute Animal Ethics Committee (IAEC) in relation to his/her work, if applicable
18.	ACADEMIC PRE-REQUISITE FOR PRE-SUBMISSION PRESENTATION	
	18.1.	A minimum of four half yearly progress reports (except Faculty of Nursing) and five half yearly progress reports (for Faculty of Nursing) of the scholar, all duly approved by the FRC/Board of Studies, will be a necessary pre-requisite for pre-submission presentation of his/her thesis
	18.2.	Every scholar has to publish at least three (03) article on his/her research work as specified in Clause no 15 before the pre-submission presentation and produce evidence to that affect, in the form of a reprint or acceptance letter.
	18.3.	Every research scholar must make one paper presentations in conferences/seminars before the pre-submission presentation as mentioned in Clause no 16, and produce evidence for the same in the form of certificates and/or reprints.
	18.4.	Part Time scholars should complete residency period as per Clause no. 15.
	18.5.	Certificate from Institutional Animal Ethics Committee (IAEC) in relation to his/her work, if applicable, must be produced.
	18.6.	Approval from AdtU Ethics Committee in relation to his/her work.
	18.7.	Scholar must clear all his/her dues to be able to make the pre-submission presentation.

19.	PRE-SUBMISSION PRESENTATION	
	19.1.	Prior to submission of Abstract and the thesis, a scholar has to give a pre-submission presentation on his/her entire work highlighting the findings, in presence of the concerned RAC/FRC/Board of Studies. The presence of Supervisor(s) is mandatory in the presentation.
	19.2.	Pre-submission seminar shall also be open to all faculty members and other research scholars/students.
	19.3.	After fulfillment of the pre-requisites for pre-submission seminar (Clause no 18), scholar must apply for pre-submission seminar to the concern RAC/FRC/ Board of Studies submitting the following documents: a) Application for pre-submission seminar in AdtU format. b) Three copies of detailed abstract of the work completed by scholar and duly signed by the supervisor(s). c) Re-print of thesis research paper publications/Acceptance letter from the concerned Journal. d) Copy of presentation in Conference/Seminar. e) Fee clearance receipt.
	19.4.	Respective RAC/FRC/ Board of Studies will thoroughly check the content and quality of Ph.D. work and ensure fulfillment of the pre-requisites for pre-submission seminar. RAC/FRC/ Board of Studies shall allow the candidate to present the pre-submission seminar only if the FRC/ Board of Studies is satisfied with the progress of work.
	19.5.	The respective FRC/Board of Studies may invite an external expert in the pre submission seminar from the similar field of work/subject/discipline or who is familiar to the scholar research area.
	19.6.	RAC/FRC/ Board of Studies may recommend further necessary action if the pre-submission seminar has been found satisfactory or may ask the scholar to incorporate the suggestions of FRC and present the seminar again.
	19.7.	On satisfactory performance in the presentation, the Chairperson of the concerned RAC/FRC/ Board of Studies shall recommend that the scholar may be allowed to submit the abstract and the thesis.
	19.8.	On recommendation from the FRC/ Board of Studies, the ADRAC may permit a candidate to submit the abstract and the thesis subject to the fulfillment of the conditions as mentioned in the various provisions of this regulation.
	19.9.	After recommendation of ADRAC, Registrar /Deputy Registrar (Academic) shall issue a letter (in a prescribed format) stating that scholar can go for anti-plagiarism check and should submit the abstract and thesis within 3 months from the date of issuing the letter. However, the scholar can apply for an extension of time for rewriting of the thesis and submit keeping in mind the maximum permissible period of time for completion of the degree programme.
	19.10.	If a research scholar fails to submit the thesis in stipulated/permitted time period while fulfilling requisite clause, the ADRAC may consider granting relaxation of thesis submission date as recommended by the FRC/Board of Studies after the pre-submission seminar is presented afresh.
20.	LANGUAGE OF THESIS	
	The thesis shall be written in English	
21.	ANTI-PLAGIARISM CHECK	
	21.1.	Thesis evaluation by anti-plagiarism software as procured by AdtU. UGC regulation on 'Promotion of Academic Integrity and Prevention of Plagiarism in Higher Educational Institutions 2018' will be considered to check the plagiarism.
	21.2.	The maximum acceptable limit of plagiarism is 10% for Similarity Index (SI) and 5% for Artificial Intelligence (AI)

	21.3.	The process of plagiarism check during the submission of thesis is mentioned in Clause no. 21.
22.	SUBMISSION OF ABSTRACT AND THESIS	
	22.1.	After receiving letter from Registrar /Deputy Registrar (Academic) as mentioned in Clause no 19.9, the Ph.D. research scholar can submit the thesis for plagiarism check within stipulated time.
	22.2.	Candidate should prepare the thesis as per AdtU thesis writing guidelines given in the Annexure-I and same has to be verified by the concerned Supervisor(s) and RAC/FRC before submission of thesis to Ph.D. Cell.
	22.3.	Thesis submission & request for plagiarism check: Initially the candidate must submit following documents: (i) Application for thesis submission and plagiarism check along with requisite document (ii) One (01) copy of Ph.D. thesis (spiral binding) (iii) Soft copy of Ph.D. thesis (iv) Thesis Review Report from Supervisors (Original) (v) Thesis Review Report for Concern RAC/FRC(Original) (vi) Copy of Residency period certificate from Supervisor(s) (vii) List of publications, seminar / conference presentations (viii) Confidentiality and copyright statement as mentioned in clause no 30 of AdtU Ph.D. Regulation. (ix) NOC on Payment of fees. (x) Fee payment receipt for plagiarism check
	22.4.	The Supervisor of the scholar must submit a panel of not less than seven experts in the specified format with full address, designation, area of specialization, academic and research experience, phone no, email ids etc. in a sealed envelope as a confidential document to the Ph.D. Cell.
	22.5.	Upon receipt of above-mentioned documents and thesis, Ph.D. cell shall forward the soft copy of thesis to the Librarian of the university for plagiarism check.
	22.6.	If the thesis passes the Similarity Index (SI) and Artificial Intelligence (AI) check, the Librarian shall issue a certificate in prescribed format.
	22.7.	The candidate then needs to submit the application for thesis submission (in prescribed format) along with the remaining five (05) copies Ph.D. thesis (soft binding) after incorporating the plagiarism check report, along with five (05) copies of Abstract.
	22.8.	If the thesis fails to pass the similarity index check, candidate will be asked to revise the thesis as per 'UGC regulation on Promotion of Academic Integrity and Prevention of Plagiarism in Higher Educational Institutions 2018'. In such case, plagiarism check of the thesis will be carried out after submission of revised thesis as mentioned in clause no 21.3 to 21.6.
23.	APPOINTMENT OF EXAMINERS AND RECEIPT OF REPORTS	
	23.1.	On receipt of the abstract, thesis along with other necessary documents, the Ph.D. Cell will forward the same to CoE.
	23.2.	Office of the CoE will be responsible for completion of all the requisite procedure from this point required for the award of Ph.D..
	23.3.	The CoE shall place the panel of examiners before the Vice Chancellor for appointment of two examiners out of the panel of experts, besides the Supervisor(s).
	23.4.	On receiving the consent from the examiners, the CoE shall send a copy of the thesis to each of the examiners for evaluation. In addition, CoE will also send the thesis to the supervisor/supervisor (outside AdtU)/co-supervisor of the scholar for evaluation.

23.5.	The CoE will receive the reports from the External Examiners and Supervisor(s) in sealed envelopes and will place those before the Vice Chancellor. The reports of the external examiners will be communicated to the Supervisor, concern Chairman - RAC and Chairman – FRC/ Board of Studies by CoE. In case, the report(s) from the external examiner(s) contain any suggestion for alteration/modification, the concerned Supervisor / RAC will take steps for the scholar to affect those changes.
23.6	The candidate will incorporate the suggestions/modifications made by the external examiners in consultation with the Supervisor(s) and submit the revised thesis containing a certificate from the Supervisor(s), in the body of the revised thesis, that the suggestions/modifications put forward by the external examiners have been duly incorporated.
23.7.	As per the direction of the Vice Chancellor, the CoE will then initiate the viva-voce process. In case, there were no suggestions/modifications suggested, the CoE, at the direction of the Vice Chancellor, will initiate the viva-voce process.
23.8	The entire process of evaluation of the Ph.D. thesis up to receipt of the report from the Board of Examiners of viva-voce on the day of Final viva-voce, shall preferably be completed within a period of six months from the date of submission of the abstract and the thesis.
24.	EXAMINATION OF THESIS
24.1.	The examiners will submit reports in the format supplied. Based on the report received CoE may take necessary step after approval of Vice Chancellor
24.1.1.	Recommend acceptance of the thesis in the present form: If both the external examiners recommend acceptance of the thesis in the present form without any correction or minor correction. CoE in this case schedule the Mock Viva presentation.
24.1.2.	The thesis be accepted after corrections and modifications as suggested: In case any of the one/both external examiners indicate the minor corrections and the modifications required, the thesis may be accepted after the candidate incorporates those suggestion/modifications in the thesis and the Supervisor certifies to that effect.
24.1.3.	The Thesis be Revised and resubmitted: In case any of the one/both external examiners that the thesis required the revision and to be resubmitted, the candidate, Supervisor, RAC shall be provided with the report(s) of the Examiner(s) who has/have suggested the revision. The scholars need to do the necessary modification within stipulated time period provided by the university.
24.1.4.	The thesis be rejected. i. In this case, if one of the external examiners recommends rejection citing valid reasons for such rejection, the thesis shall be referred to a third external examiner from the panel of examiners. If the report of the third external examiner is satisfactory, the CoE will then initiate the process of viva-voce. If the report of the third external examiner is also unsatisfactory, the thesis shall be rejected and the research scholar shall be declared ineligible for award of the degree. ii. If reports of both the external examiners are unsatisfactory then the thesis shall be rejected and the research scholar shall be declared ineligible for award of the degree.
24.2.	A scholar is eligible may ask to present his Ph.D. research in front of ADRAC, concern FRC, Concern RAC members which will be considered as the Mock Viva-Voce. After successful presentation in the Mock Viva Voce Presentation a scholar is allowed for Viva

		Voce presentation.
24.3.		The public viva-voce of the research scholar to defend the thesis shall be conducted only if the evaluation reports of two external examiners on the thesis are satisfactory and include a specific recommendation for conducting the viva-voce examination. An Executive Summary of the thesis (not more than 5 pages) is to be submitted by the candidate to the Board of Examiners of viva-voce.
24.4.		<p>Board of Examiners of viva-voce: The Board of Examiners to conduct viva-voce shall consist of:</p> <ul style="list-style-type: none"> (i). The Chairperson of the concerned FRC/ Dean of the concerned Faculty of Study - Chairperson of the Board of Examiners (ii). Dean of Studies, AdtU - Member (iii). Advisor of the concerned FRC - Member (iv). Associate Dean/HoD/Programme coordinator/Senior Faculty member (not below the rank of Associate Professor) of the concerned department – Member (v). The Supervisor(s) of the Scholar – Member (vi). One External Examiner of the thesis out of two, to be chosen by the Vice Chancellor - External Expert member <p>In case the Dean/Chairperson, FRC happens to be the Supervisor of the candidate, the Vice Chancellor shall nominate a Professor of the concerned department/discipline as a member of the Board of Examiners of viva-voce.</p>
24.5.		The report will be written and signed only by the members of the Board of Examiners of viva-voce. However, the viva-voce will be open i.e. all faculty members and other research scholars of the concerned/other department may attend the viva-voce of the scholar.
24.6.		The report of the Board of Examiners of viva-voce along with the executive summary submitted by the scholar shall be forwarded by the Chairperson of the Board in a sealed envelope to the CoE who shall then present the report in before the Vice Chancellor.
24.6.		Upon approval of Vice Chancellor, COE will ask the Supervisor/Scholars to submit the Hard Bound thesis after incorporating corrections/modifications etc. (if any), as suggested by the Board of Examiners of viva-voce.
25.	SUBMISSION OF HARD BOUND THESIS	
	A scholar shall submit two hard bound copies of the final thesis along with two soft copies (in CD/Pen drive) to the CoE after incorporating corrections/modifications etc. (if any), as suggested by the Board of Examiners of viva-voce within one month from the date of viva-voce. In this stage (before submission of hard bound thesis) the scholar must go for fresh plagiarism check as mentioned in Clause no 21 of this regulation. The Supervisor(s) and the Chairperson of the concerned FRC/Board of Studies have to certify in the body of the thesis that the thesis has been corrected and modified as per suggestions of the viva-voce Examination Board.	
26.	AWARD OF DEGREE	
26.1.		After receipt of hard bound Ph.D. thesis, the COE will submit the status to the Hon'ble Vice Chancellor along with report of Viva Voce Board. Hon'ble Vice Chancellor on recommendation of the viva-voce Examination Board and status report submitted by the CoE shall approve the award of the Degree of Doctor of Philosophy (Ph.D.) to the eligible candidate. However, the same should be ratified in next AC and BOM meeting before conferring the Final Certificate.
26.2.		CoE will forward final thesis to University Librarian for submission of an electronic copy of the Ph.D. thesis to the INFLIBNET.
26.1.		After confirmation of submission of the thesis to the INFLIBNET, The CoE will forward the details of the scholars found eligible to award the degree to the Registrar to issue the notification. Registrar will then notify the award of Ph.D. Degree (subject to post facto

		approval in the next Academic Council and Board of Management meeting) mentioning the name of the candidate, title of the thesis, name of the Supervisor(s) and the subject domain/discipline and the date of final Viva Voce.
	26.3.	Same will be placed in the next AC and BOM for approval the award of the Degree of Doctor of Philosophy (Ph.D.) to the eligible candidate. The degree to the successful candidates will be conferred only at the time of convocation.
	26.4.	Prior to the actual award of the Ph.D. degree, CoE shall issue a provisional certificate to the effect that the Ph.D. is being awarded in accordance with the provisions of these Regulations.
	26.5.	The date of the award of the Ph.D. degree shall be the date of the successful final viva-voce examination.
27.	ISSUE OF CERTIFICATE AND INFORMATION TO UGC	
	27.1.	Original certificate will be issued to the successful scholar at the time of Convocation or thereafter in absentia. A provisional degree certificate will be issued by the University until the convocation of the University is held.
	27.2.	CoE will forward one copy of the final bound volume of the thesis and soft copy of thesis to University Librarian and thesis (soft copy & hard copy) shall be preserved in the University Library.
	27.3.	Following the successful completion of the evaluation process and before the announcement of the award of the M.Phil./Ph.D. degree(s), the Librarian shall submit an electronic copy of the Ph. D. thesis to the INFLIBNET, for hosting the same so as to make it accessible to all Institutions/Colleges.
28.	PAYMENT OF TUITION & OTHER FEES	
	28.1.	The research scholar admitted in the Ph.D. programme shall be required to pay the admission fees and other fees as prescribed from time to time for all the terms from the admission to the date of submission of thesis.
	28.2.	Ph.D. scholar must clear dues timely as per AdtU norms. Presentation in front of RAC/FRC/Board of Studies will be allowed only after submission of fee clearance form
	28.3.	Ph.D. scholars must clear their dues and obtain fee clearance certificate in the prescribed format from the Ph.D. Cell/FAO. Submission of fee clearance form is mandatory in front of FRC in connection with Ph.D. Registration Presentation/ Final Registration Presentation/ Six- Monthly Ph.D. progress Presentation/ Pre-Submission Presentation etc. Scholars failing to produce fee clearance certificate will not be allowed to join his/her progress presentation and also will be treated as absent and his/her Ph.D. progress will be subjected to the regulations applicable for absentee Ph.D. scholar
29.	DURATION OF PROGRAMME	
	29.1.	Ph.D. Programme shall be for a minimum duration of three (3) years, including course work, and a maximum duration of six (6) years from the date of admission to the Ph.D. (except for the Faculty of Nursing)
	29.2.	A maximum of an additional two (2) years can be given through a process of re-registration; provided, however, that the total period for completion of a Ph.D. programme should not exceed eight (8) years from the date of admission in the Ph.D. programme (except for the Faculty of Nursing).
	29.3.	Ph.D. Programme shall be for a minimum duration of three (3) years for full time PhD research scholars and five (5) years fro the part time PhD research program, including course work, and a maximum duration of six (6) years from the date of admission to the Ph.D. (Only for Faculty of Nursing).
	29.4.	Provided further that, female Ph.D. scholars and Persons with Disabilities (having more than 40% disability) may be allowed an additional relaxation of two (2) years; however, the total period for completion of a Ph.D. programme in such cases should not exceed ten (10) years from the date of admission in the Ph.D. programme

	29.5.	Female Ph.D. Scholars may be provided Maternity Leave/Child Care Leave for up to 240 days in the entire duration of the Ph.D. programme.
30.	CANCELLATION OF Ph.D. ADMISSION& REGISTRATION	
	30.1.	ADRAC shall be the competent body to recommend cancellation of admission and/or registration of a research scholar in the following events:
	30.1.1.	Unsatisfactory progress in Research as reported by Supervisor(s), RAC through FRC/.Board of Doctoral Studies
	30.1.2.	If candidate fails to clear Course Work Examination within two consecutive chances (as per clause no 9.11, 9.12 and 9.13).
	30.1.3.	Non submission of Ph.D. progress report as per the regulation mentioned in clause no. 12.5 and 12.6.
	30.1.4.	Non-payment of fee as mention in Clause no. 27 within stipulated time as notified by University time to time.
	30.1.5.	Non-submission of thesis within the stipulated time to the University as mention in Clause no. 28.
	30.1.6.	Discontinuation of study/Research work without intimation to the Supervisor/RAC/FRC and University.
	30.1.7.	In cases where Research Scholar is found to be involved in plagiarism as per ‘UGC regulation on Promotion of Academic Integrity and Prevention of Plagiarism In Higher Educational Institutions 2018’
	30.1.8	Involvement of the Ph.D. scholar in academic malpractice/unlawful activity/ misconduct in any form on the part of a candidate.
	30.1.9	Voluntary withdrawal of admission.
	30.1.10.	Noncompliance of the clause mentioned in 33.1 and non-submission of documents as mentioned in clause no. 33.2.
	30.1.11.	Non-compliance/ Non-conformity of Ethics and Discipline (clause no 33)
	30.1.12.	Non-conformity with the regulations of the programme.
31.	CONFIDENTIALITY AND COPYRIGHT OF THE THESIS	
	31.1.	The confidentiality of the content of the thesis shall lie with the University.
	31.2.	The copyright of the thesis shall lie with the concerned Ph.D. holder as per the copyright Act. 1968.
	31.3.	Upon submission of the thesis, candidates shall be asked to sign the following statement, enabling the University to allow others to read the University copy of the thesis, and use it for research or private study: <i>“I agree that my Ph.D. thesis titled (title) will be lodged in the University Library and made available (if applicable after expiry of any period of approved restricted access) to any person(s) entitled to use the library and may be photocopied or microfilmed by or on behalf of the Librarian for use for research or private study pursuant to the provisions of the Copyright Act 1968. I agree that any user of the library may quote extracts from the thesis in any paper or written work prepared by the use, subject to acknowledging the source of the quotation.”</i>
	31.4.	Should the author of the thesis or the Supervisor(s) wish to publish the matter in the form of a book, the author and the Supervisor(s) shall obtain clearance from the University
32.	CHANGE OF SUPERVISOR	
	32.1.	In case, the Supervisor of a Ph.D. scholar leaves the University or goes on lien after pre-submission seminar/ presentation, the ADRAC may allow the concerned Supervisor to continue to complete the necessary part of the programme.
	32.2.	In case, the Supervisor of a Ph.D. scholar leaves the University or goes on lien before pre submission seminar/presentation, and FRC feels that the majority of Ph.D. work of the scholar is still pending in terms of completion of study objectives, incorporation of

		corrections/modifications recommended by FRC time to time, FRC may recommend for allocation of new major Supervisor to ADRAC. In such case, if previous major supervisor wants to continue as another supervisor, he/she shall have to give consent in writing to the concerned FRC for approval by FRC and finally by ADRAC.
	32.3.	The change of Supervisor shall be permitted in exceptional cases like death, state of being incapacitated due to illness, mental disabilities, resignation and removal from the job and if, and only if the ADRAC feels that such a change is absolutely necessary at any point of time. In such cases, the contribution of the respective former Supervisor shall be duly recognized/acknowledged.
	32.4.	The student may be allowed to apply for a change of supervisor, co-supervisor, joint supervisor, or supervisor (outside AdtU) under extraordinary circumstances, such as the death of the supervisor, non-availability of the supervisor for an extended duration, or other similar reasons. The university shall consider such cases on their merits, and its decision shall be final.
33.	ETHICS AND DISCIPLINE	
	33.1.	Ph.D. scholars must conduct their research with the highest ethical standards, ensuring that their work is original, truthful, and transparent. Any form of plagiarism, fabrication, falsification of data, misrepresentation of research findings, or research misconduct is strictly prohibited.
	33.2.	Ph.D. scholars conducting research involving human subjects or animals must obtain approval from the relevant ethical review committees (such as the Institutional Review Board (IRB) or Institutional Animal Ethics Committee (IAEC)) prior to commencing their research. All research must adhere to established ethical guidelines and legal requirements to protect the welfare and rights of participants.
	33.3	Ph.D. scholars are required to respect the confidentiality of sensitive data, including unpublished research and proprietary information, throughout the research process. They must also respect intellectual property rights and properly acknowledge all sources of information, including ideas, texts, and data from other researchers.
	33.4.	Ph.D. scholars must disclose any potential conflicts of interest that may influence the design, conduct, or reporting of their research. This includes financial interests, personal relationships, or professional associations that may compromise the integrity of the research process.
	33.5.	Ph.D. scholars must maintain honesty in the publication of their research findings. All publications must accurately represent the research results and provide proper citations to acknowledge prior work. Scholars are prohibited from submitting the same research to multiple journals simultaneously (duplicate submission) or from manipulating data for personal or professional gain.
	33.6.	Ph.D. scholars must adhere to the values of honesty, openness, accountability, and fairness, which are fundamental to research practices, relationships, and the integrity of science. Ph.D. scholars must not engage in any form of malpractice during examinations or in the research they conduct.
	33.7.	The Ph.D. scholar must maintain discipline and adhere to the regulations of the university as notified from time to time.
34.	PART-TIME SCHOLAR CHANGES THE JOB	
	34.1.	If the Part-time Ph.D. scholar changes the job after admission or resign from the institute where he/she was employed during the time of admission, the Ph.D. scholar must immediately inform the university (i.e. the Supervisor, the RAC, the FRC and the Ph.D. Cell) about such a change of place of job immediately. The such cases Ph.D. scholar must take approval from University to continue his Ph.D. research under new institute.
	34.2.	The Ph.D. scholar may be allowed to continue his/her Ph.D. in new institute (in case of Ph.D. scholar changes the job from one institute to another institute), provided the scholar submit the following documents to Ph.D. cell.

		<ul style="list-style-type: none"> i. The scholar must submit the NOC from new institute where he joined. ii. A letter from the employer stating that the candidate, as a part-time Ph.D. scholar of AdtU, will be provided with the facility and opportunity to conduct research under the Ph.D. program at the institution where the candidate is employed.
	34.3.	Non submission of documents as mentioned in clause no. 33.2, or noncompliance of the clause mentioned in 33.1 may lead to the cancellation of Ph.D. admission.
34.	ARRANGEMENT OF RECURRING AND NONRECURRING ARTICLES	
	Departments of all the FRCs provide the necessary infrastructure facilities (nonrecurring) including basic and sophisticated instruments and equipments needed for conducting the research work. However, the recurring expenditures like costs of chemicals, solvents, reagents and any other consumables etc. are to be borne by the respective Ph.D. scholar.	
35.	GRIEVANCE REDRESS SYSTEM	
	As per existing policy of the AdtU.	